

Atty. Dkt. No. 040302-0533

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kyoichi WATANABE et al.
Title: ELECTRODE, BATTERY, AND METHOD OF
MANUFACTURING THE SAME
Appl. No.: 10/560,047
International Filing Date: 5/31/2004
371(c) Date: 12/8/2005
Examiner: Echelmeyer, Alix Elizabeth
Art Unit: 1745
Confirmation Number: 8180

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

Documents A6-A9 listed on the attached PTO/SB/08 were cited in a Japanese Office Action issued in a counterpart Japanese application on March 6, 2007. According to an English translation of the Japanese Office Action obtained by Applicants' representative, the Japanese Patent Office made certain characterizations of the references. A copy of the Japanese Office Action and the English-language translation thereof is attached. As noted, the characterizations of the Japanese Patent Office noted above are based on an English translation obtained by Applicants' representative. The disclosure of these characterizations should not be construed as an admission of or agreement with the opinions expressed in the Japanese Office Action.

Documents A6 and A10 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A1-A3, and A7 belong to the same "patent family," whereby the English language documents may assist the PTO in understanding the content of the non-English language document.

Documents A4, A5, and A8 belong to the same "patent family," whereby the English language documents may assist the PTO in understanding the content of the non-English language document.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

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Although Applicants believe that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

By _____

Date: May 25, 2007

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5426
Facsimile: (202) 672-5399

Glenn Law
Attorney for Applicant
Registration No. 34,371

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/560,047
Date Submitted: May 25, 2007		Filing Date	5/31/2004
(use as many sheets as necessary)		First Named Inventor	Kyoichi WATANABE
		Art Unit	1745
		Examiner Name	Echelmeyer, Alix Elizabeth
		Attorney Docket Number	040302-0533
Sheet	1	of	1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/AE/	A1	5,652,043 A	07-29-1997	NITZAN	
/AE/	A2	5,811,204 A	09-22-1998	NITZAN	
/AE/	A3	5,897,522 A	04-27-1999	NITZAN	
/AE/	A4	6,833,219 B2	12-21-2004	LEE ET AL.	
/AE/	A5	2002/0114997 A1	08-22-2002	LEE ET AL.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
/AE/	A6	JP 2003-514353 A	04-15-2003	NEOPHOTONICS CORPORATION		ABS
/AE/	A7	JP 2000-502206 A	02-22-2000	POWER PAPER LIMITED		ABS
/AE/	A8	JP 2002-289255 A	10-04-2002	SAMSUNG SDI CO LTD		ABS
/AE/	A9	JP 10-144303 A	05-29-1998	FUJII PHOTO FILM CO LTD		ABS
/AE/	A10	WO 01/35473 A1	05-17-2001	NANOGRAM CORPORATION		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature	/Alix Echelmeyer/	Date Considered	12/17/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is completed. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.